

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problems Mailbox.**



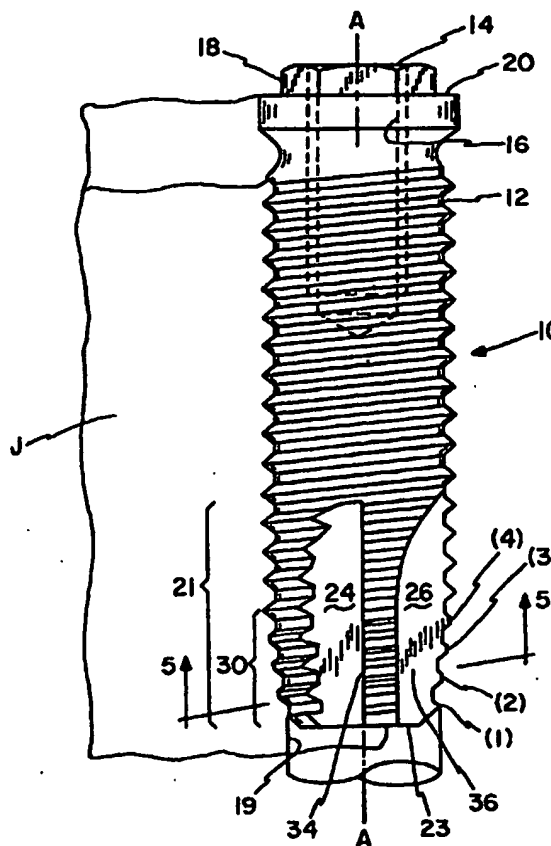
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : A61C 8/00		A1	(11) International Publication Number: WO 97/03621
			(43) International Publication Date: 6 February 1997 (06.02.97)
(21) International Application Number: PCT/US96/11138		(81) Designated States: AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 1 July 1996 (01.07.96)			
(30) Priority Data:			
60/001,486	18 July 1995 (18.07.95)	US	
60/003,359	7 September 1995 (07.09.95)	US	
08/590,087	24 January 1996 (24.01.96)	US	
(71) Applicant: IMPLANT INNOVATIONS, INC. [US/US]; 3071 Continental Drive, West Palm Beach, FL 33407 (US).		Published With international search report.	
(72) Inventors: BEATY, Keith, D.; 16 Riverside Drive West, Jupiter, FL 33469-2960 (US). GOODMAN, Ralph, E.; 4965 Summit Boulevard, West Palm Beach, FL 33415 (US). HEYLMUN, Thomas, S.; 4387A Willow Pond Road, West Palm Beach, FL 33417 (US). REAMS, James, W.; 2518 S.E. Monroe Street, Stuart, FL 33497 (US).			
(74) Agent: RUDISILL, Stephen, G.; Arnold, White & Durkee, P.O. Box 4433, Houston, TX 77210 (US).			

(54) Title: SELF-TAPPING SCREW-TYPE DENTAL IMPLANT

(57) Abstract

A self-tapping dental implant (10) comprises a generally cylindrical body (12) with a threaded outer surface for securing the implant to the walls of a preformed hole in a jaw bone. The cylindrical body has a plurality of longitudinal recesses (22, 24, 26, 28) formed in the threaded surface at one end, and extends longitudinally through a plurality of turns of the thread to form a self-tapping cutting edge (32, 34, 36, 38) at each interruption of the thread by one of the recesses. Each thread segment extends between a pair of adjacent recesses and diminishes in radius between the leading and trailing ends of the thread end.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

SELF-TAPPING SCREW-TYPE DENTAL IMPLANT**REFERENCE TO PRIOR PROVISIONAL APPLICATIONS**

This application claims the benefit of copending provisional application Serial No. 60/001,486, filed July 18, 1995, entitled: "Self-Tapping Dental Implant", and copending provisional application Serial No. 60/003,359, filed September 7, 1995 and entitled: "Super Self-Tapping Dental Implant."

5 FIELD OF THE INVENTION

The present invention relates generally to dental implants and, more particularly, to screw-type dental implants which are self-tapping.

BACKGROUND OF THE INVENTION

10 Screw-type dental implants are widely used and have been known for a number of years. A self-tapping dental implant is one that can be threaded into a pre-drilled hole in a jaw bone without pre-tapping the hole. The apical end portion of the implant itself taps the hole as the implant is simultaneously pressed into the hole and rotated.

15 Self-tapping implants have been well known for a number of years, but they are generally more difficult to install than non-self-tapping implants which require pre-tapping of the hole. One problem with self-tapping implants is the instability of the implant when it is first inserted into the pre-drilled hole; this instability can make it difficult to ensure that the axis of the implant is aligned with, and parallel to, the axis of the hole. Another problem particularly with hard jaw bones, is the amount of torque that must be manually applied to the implant to overcome the friction between the implant and the bone to effect self-tapping
20 of the implant into the bone. There has been an ongoing need for a self-tapping implant that would alleviate these problems.

SUMMARY OF THE INVENTION

25 It is a primary object of the invention to provide an improved self-tapping dental implant that stabilizes itself at the beginning of the self-tapping operation so that the axis of the implant is coaxially aligned with the pre-drilled hole in the jaw bone.

Another important object of the invention is to provide an improved self-tapping dental implant that reduces the torque required to be applied to the implant during the

self-tapping operation, by reducing the friction between the implant and the bone. A related object is to reduce the time required to install the implant.

5 A further object of the invention is to provide such an improved self-tapping dental implant having highly efficient bone-cutting surfaces in the self-tapping region of the implant.

Other objects and advantages of the invention will become apparent from the following detailed description and the accompanying drawings.

10 In accordance with the present invention, the foregoing objectives are realized by providing an improved self-tapping dental implant comprising a generally cylindrical body having a threaded outer surface for securing the implant to the walls of a preformed hole in a jaw bone, and a plurality of longitudinal recesses formed in the threaded surface at one end thereof and extending longitudinally through a plurality of turns of the thread to form a self-tapping cutting edge at each interruption of the thread by one of the recesses. In one aspect of the invention, each thread segment that extends
15 between a pair of adjacent recesses diminishes in radius between the leading and trailing ends of the thread segments. In another aspect of the invention, the threaded surface of the implant body is tapered in the longitudinal direction along at least a portion of the recesses so that successive cutting edges along the thread in the tapered region have progressively changing radii, decreasing toward the smaller end of the implant.

20 **BRIEF DESCRIPTION OF THE DRAWINGS**

FIG. 1 is a side elevation of a dental implant embodying the present invention;

FIG. 2 is a top plan view of the implant of FIG. 1;

FIG. 3 is a bottom plan view of the implant of FIG. 1;

FIG. 4 is an enlarged version of FIG. 3 showing the incremental self-tapping
25 cutting action of the invention starting at the bottom end of the implant;

FIG. 5 is a helical section view taken along line 5-5 in FIG. 1 showing four successive thread segments;

FIG. 6 is a section view of the threads of the implant illustrating the major, minor, and pitch diameter;

FIGS. 7A-7C are section views of a trough between two longitudinally adjacent thread segments along the tapered, lower portion of the implant;

FIG. 8 is a side elevation of a small-diameter dental implant embodying the invention;

5 FIG. 9 is a bottom plan view of the implant of FIG. 8;

FIG. 10 is a side elevation of a large-diameter dental implant embodying the invention;

FIG. 11 is a bottom plan view of the implant of FIG. 10;

FIG. 12 is a side elevation of a modified implant embodying the invention;

10 FIG. 13 is a section taken generally along line 13-13 in FIG. 12;

FIG. 14 is a diagrammatic side elevation of the bottom portion of a dental implant embodying a modified version of the invention; and

FIG. 15 is a diagrammatic bottom plan view of a dental implant embodying another modified version of the invention.

15 **DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS**

While the invention is susceptible to various modifications and alternative forms, specific embodiments thereof have been shown by way of example in the drawings and will be described in detail herein. It should be understood, however, that it is not intended to limit the invention to the particular forms disclosed, but, to the
20 contrary, the intention is to cover all modifications, equivalents and alternatives falling within the spirit and scope of the invention as defined by the appended claims.

Turning now to the drawings and referring first to FIGS. 1-3, a dental implant 10 has an externally threaded, substantially cylindrical main body 12 symmetrical around the longitudinal axis A-A of the implant. The top end 14 of the implant 10 is fitted in a
25 known way for receiving dental components useful for making a dental restoration, as well as a dental restoration itself. As can be seen in FIG. 2, the illustrative implant 10 has an internal bore 16 which is internally threaded for receiving a machine-screw-like bolt (not shown) and, surrounding this bore, a hexagonal boss 18 which can be engaged for screwing the implant into a pre-drilled bore 19 in a patient's jaw bone J. The
30 hexagonal boss 18 also forms part of a non-rotational coupling between the implant 10

and the above-mentioned components and restoration. The boss 18 is surrounded by an annular shoulder 20 against which such components and restoration may abut when attached to the implant 10.

The bottom end 21 of the implant 10 has four axially elongated recesses or cut-
outs 22, 24, 26 and 28, spaced symmetrically 90 degrees apart around the longitudinal
axis of the implant 10. These four recesses form four cutting edges 32, 34, 36 and 38
which are effective to self-tap the implant 10 into the pre-drilled bore 19 when the
implant 10 is turned counterclockwise around the longitudinal axis as viewed in FIG. 4
(in the direction indicated by the curved arrow B).

As seen most clearly in the enlarged FIG. 4, the cutting edges 32, 34, 36 and 38
are all within the circular locus 40 of the main body 12, so that the threads on the main
body following the self-tapping threads into the bore 19 will engage in the side wall of
the bore 19 and thereby prevent wobbling of the implant 10 as it is screwed into the
patient's jaw bone J. The main body portions 42, 44, 46 and 48 that remain between
adjacent pairs of the recesses 22-28 have elongated circumferential thread segments 52,
54, 56 and 58, respectively. These thread segments are actually milling tools in that
they are used to cut internal threads into the preformed bore of the bone. As such,
thread segments 52, 54, 56, and 58 are actually modified thread segments because of the
additional function they perform. If these modified thread segments had constant major
and minor radii, they could rub on the interior wall of the bore 19 as the implant 10 is
threaded into that bore 19. To reduce such rubbing friction between the segments 52-58
and the jaw bone J, the major and minor radial dimensions of each of these segments are
progressively reduced substantially immediately following the relevant cutting edge,
and this reduction continues to the trailing edge of the segment.

For example, looking at the body portion 42, the major radius of each segment
52 is progressively reduced in size from the recess 22 to the recess 24 so that the outer
surface of this segment takes on an inwardly-curving contour. FIG. 4 shows a set of
such contours, on successively smaller diameters proceeding toward the bottom end of
the implant 10, due to the fact that the bottommost portion 30 of the self-tapping
segment of the implant tapers to a smaller size toward the extremity 23 of the bottom

end 21. Accordingly, each tapping thread segment, regardless of the diameter of its circumferential locus, is provided with this friction-reducing structure. As illustrated by the innermost broken-line arc in FIG. 4, the major radius of curvature of each thread segment is smaller than that of the implant body 12 and the bore hole 19.

5 As can be seen in FIG. 4, this configuration confines the engagement between the peak of the self-tapping thread and the bone to the cutting edges of the tapping thread segments. Thus, the torque applied to the implant 10 to thread it into the bone is utilized primarily to cut a thread into the bone, and not to overcome friction between the bone and non-cutting major diameter surfaces of the implant. Consequently, the torque
10 required to be applied to the implant 10 to effect self-tapping is reduced, as is the time required to install the implant 10.

FIG. 5 is a helical section view of the implant 10 showing the peaks and the troughs of four successive thread segments 52, 54, 56 and 58 corresponding to one thread turn, and their associated four body portions 42, 44, 46 and 48. In FIG. 5, the
15 reference numerals 52a, 54a, 56a and 58a indicate the peak, or major diameter, of the thread segments following the respective relevant cutting edges 32, 34, 36 and 38. Reference numerals 52b, 54b, 56b and 58b indicate the trough, or minor diameter, of each of those respective thread segments. In accordance with the invention, the troughs 52b, 54b, 56b and 58b, as well as the peaks 52a, 54a, 56a and 58a, of these thread
20 segments move toward the implant axis thereby reducing the minor diameter as well as the major diameter of each segment, immediately following the relevant cutting edge. The circular dashed line 64a shows the cutting locus of the peak of the cutting edge 32, while the circular dashed line 66a of relatively smaller radius shows the cutting locus of the trough of the same cutting edge 32. As is evident from these locus lines, both the
25 peak 52a and the trough 52b of the thread segment 52 are "friction relieved" away from the interior of the bore immediately following the cutting action of the cutting edge 32.

Similarly the peak 54a and the trough 54b of the thread segment 54 are "friction relieved" away from the interior of the bore immediately following the cutting action of the cutting edge 34, as is apparent from the major and minor locus lines 64b and 66b. It
30 is also apparent from the major and minor locus lines 64b and 66b of the second cutting

edge 34 are larger than the major and minor radii 64a and 66a of the first cutting edge 32. The third thread segment 56 includes a peak 56a and trough 56b with still larger radii and its cutting edge 36 cuts on these larger radii as is indicated by the major and minor locus lines 64c and 66c. Thread segment 56 is similarly "friction relieved" at both its peak 56a and its trough 56b. The fourth thread segment 58 shown in FIG. 5 has similar properties, as do the thread segments of successive thread turns which follow on increasingly larger radii in the bottom end 21 of the implant 10. It should be noted that the amount of reduction in the major radius is independent of the reduction in the minor radii. Thus, the major radii may be reduced more or less than the minor radii.

Although the frictional relief is shown starting immediately after the cutting edges, the frictional relief may also start a short distance behind the cutting edge. Furthermore, the relief may be accomplished by other methods than by reducing the major and minor radii. For example, the reduction in the pitch radius which has been discussed thus far is typically measured from the longitudinal axis of the body of the implant. After the cutting edge, the major and minor radii measured from the longitudinal axis are reduced. However, if the axis from which the radius is measured is offset from the longitudinal axis, then relief may be accomplished in this manner as well. In this way, the radius after the cutting edge is not reduced, but instead is merely measured from an axis offset from the longitudinal axis of the implant to achieve the desired relief.

The invention may be further understood with the aid of FIG. 6, illustrating the implant 10 with an external thread 90 having a major radius 92 and a minor radius 94. The line A-A represents the longitudinal axis of the implant 10. By definition, the "pitch radius" of the thread 90 is located on the line 96 on which the thread width W of each turn is equal to the space S between adjacent turns. This property is not affected by changing the major radius 92 alone, as is indicated by the sloped line B-B, which shows a progressive reduction of the major radius from one thread turn to the next. On the other hand, if both the major radius 92 and minor radius 94 of the thread 90 are reduced together, no other change in the thread parameters being made, the pitch radius 96 is also reduced as can be seen if the minor radius is reduced along line C-C. Thus, as is

shown in FIGS. 1 and 4, the bottom portion 30 of the implant 10 tapers to a smaller size toward the extremity 23 in a shape which reduces both the major and the minor radii of the thread, thereby reducing the pitch radius as well. Referring again to FIG. 5, the progressive reduction of both the major and the minor radii of each thread segment 52, 54, 46 and 58 brings about a progressive reduction of the pitch radius of that thread segment. Consequently, in the illustrated embodiment, the pitch radius of each thread segment is gradually reduced from the cutting edge to the trailing edge of that thread segment.

As can be seen most clearly in FIG. 1, the lower portion 30 of the implant 10 is tapered to a smaller diameter than the main body 12 over an axial distance encompassing at least the last four thread turns, which are numbered (1), (2), (3) and (4), respectively, beginning at the apical end surface 23. In order to maintain a substantially constant thread depth along the length of the tapered portion 30, both the minor and major radii of the thread (from the axis of the implant) increase progressively between the narrow end and the wide end of the tapered portion 30 (see FIGS. 1 and 4). As can be seen in FIG. 1, the bore 19 has an internal radius which is smaller than the major (outer) radius of the first thread turn (1). The first thread turn (1) therefore starts cutting into the sidewalls of the bore 19 immediately upon introduction of the implant 10 into the bore by rotating the implant about its axis while pressing it axially into the bore. As the implant 10 is advanced into the bore 19, the successive cutting edges 32a, 34a, 36a and 38a formed along the first turn (1) of the thread cut progressively deeper into the bore wall. The depth of the cut made by the first cutting edge 32a is illustrated by the broken line emerging from the tip of that cutting edge in FIG. 4.

As can be seen in FIG. 4, because of the taper of the lower portion 30 of the implant 10, each of the cutting edges 32b, 34b, 36b and 38b in the second turn (2) of the implant thread has a larger radius than the corresponding cutting edge in the first turn (1). Similarly, the cutting edges 32c-38c in the third turn (3) and edges 32d-38d in the fourth turn (4) all progressively increase in radius so that they cut progressively deeper into the bore wall as the implant 10 is threaded into the bore 19.

FIGS. 7A-7C illustrate three sectional cuts through two longitudinally adjacent modified thread segments 101 and 102 in the tapered end of the implant. FIG. 7A is a sectional view immediately behind the cutting edge of the modified thread segments 101 and 102. FIG. 7B is a sectional view between the cutting edge and the trailing end of the thread segments 101 and 102. FIG. 7C is a sectional view near the trailing end of the thread segments 101 and 102. In essence, FIGS. 7A-7C progressively show the thread segments 101 and 102 at different circumferential locations from a point near their cutting edges to a point near their trailing ends.

Line D-D represents the longitudinal axis of the implant. Line 104 is the major radius of thread segment 101 immediately following its cutting edge. Line 106 is the minor radius of thread segment 101 immediately following its cutting edge. Line 106 coincides with the radius of trough 112.

Thread segment 102 is closer to the apical end of the implant than thread segment 101. This is shown by the relative radii of troughs 110, 112, 114 with respect to longitudinal axis D-D. Trough 114 has the smallest radius while trough 110 has the largest radius with the radius of trough 112 falling therebetween. This is also shown by the relative radii of the peaks of thread segments 101 and 102.

The dashed lines represent the current invention. As can be seen by the solid-line trough 112, the radius of the floor of the trough 112 progressively increases due to the fact that each thread segment in the tapered portion is spiraling outward away from the longitudinal axis D-D of the implant so as to eventually merge with the threads in the non-tapered body of the implant which typically have larger major and minor radii. The present invention offsets this rising floor of the trough 112 by cutting further into the implant body to produce trough 112' shown in dashed lines in FIGS. 7B-7C. This cutting produces additional relief and effectively modifies the pitch radius such that it remains constant or even decreases in the tapered end portion. Thus, as bone tissue is initially cut by the cutting edge of the present invention, it does not continuously encounter a progressively rising trough 112 which would then compact the bone into the sidewalls of the bore of the bone. Instead, it encounters reduced-radius trough 112'.

Continuous compression of the bone tissue can lead to trauma of that bone tissue. The present invention minimizes the likelihood of such trauma.

Moreover, as an implant lacking the inventive reduction in minor radius is turned into the bore, bone tissue entering trough 112 between the two longitudinally adjacent thread segments 101 and 102 would confront not only a rising floor due to the normally increasing minor radius associated with the taper, but it also would encounter a reduced area through which it must pass. On the other hand, as the minor radius decreases to produce trough 112', the tool which cuts the progressively deeper trough 112' extends further inward toward the longitudinal axis D-D. Consequently, the sides of the thread segments 101 and 102 are also cut by the tool to produce additional area between two longitudinally adjacent thread segments 101 and 102 as is shown by the dashed lines. Thus, the area between the two longitudinally adjacent threads segments 101 and 102 increases as does the volume therebetween. This increase allows for the bone tissue to adequately occupy this volume, but not so much so that the bone tissue is compressed to a point at which trauma may occur. In the preferred embodiment of this invention, the minor radius at trough 112' decreases in a manner to at least offset the reduction in area due to the normal increasing minor radius associated with the tapering at the lower portion of the implant.

In each thread turn the four cutting edges 32, 34, 36, 38 are equally spaced around the longitudinal axis of the implant 10, which contributes to axial stability from the start of the threading of the implant 10 into the bore 19. To further contribute to such stability, each succeeding cut increases the depth by only a small increment (typically 0.001 inch), which correspondingly restrains the increase of friction to small incremental increases. The initial cut made by the first cutting surface to engage the bone (cutting surface 32a in the implant of FIGS. 1-4) is slightly deeper than the incremental increase in the cutting depth effected by each successive cutting surface. By the time the entire tapered portion 30 has entered the bore 19, the path of the implant 10 into the bore is stably established.

It should be noted that the successive increases in the cutting radius occur not only in the first thread turn, but also in each successive thread turn. Thus, in the

illustrative implant 10 in which the taper extends over four thread turns, and in which the four recesses provide four incremental increases in the cutting radius in each thread turn, there are a total of 16 incremental increases in the cutting radius along the entire length of the taper.

5 Of course, the tapered portion of the implant 10 may extend over any desired number of thread turns, either greater or fewer than the four-thread turns in the tapered region of the implant of FIGS. 1-4. Also, the thread itself may be either a right-hand thread or a left-hand thread, and may be either a single-lead thread (as illustrated) or a multiple-lead thread.

10 It will also be appreciated that the number of recesses included in the implant may be greater or fewer than the four recesses included in the implant of FIGS. 1-4. For example, FIGS. 8 and 9 illustrate a narrow implant 67 that has only two recesses 68 and 69, diametrically opposed, because of the smaller diameter of the implant. FIGS. 10 and 11 illustrate a wide implant 70 that includes six recesses 71-76 equally spaced around
15 the axis of the implant, because of the larger diameter of the implant.

FIGS. 12 and 13 illustrate an implant 80 in which the recesses 81-84 are at least partially closed at both ends rather than extending through to the apical end of the implant as in the implant 10 of FIGS. 1-6. The closed-end recesses 81-84 direct the bone particles from the tapping operation to the side wall of the pre-drilled hole rather
20 than to the end of the hole, to perhaps facilitate bonding of the bone to the implant 80.

Although the cutting surface formed by each of the recesses described above lies in a radial plane extending parallel to the axis of the implant, the plane of the cutting surfaces may be tilted as illustrated in the FIG. 14 to form an acute angle α in transverse cross-section, forming a chisel-like corner C at the outermost edge of the cutting surface.

25 That is, the recess wall R that forms the cutting edge forms an acute angle α with the thread segment T that trails that cutting edge. This acute angle α concentrates the force applied to the bone during the self-tapping operation in a smaller area of the bone, thereby reducing the amount of torque required to be applied to the implant to effect self-tapping.

To concentrate the applied force in an even smaller area of the bone, the plane of the cutting surface S may also be tilted as illustrated in FIG. 15 to form an acute angle b in longitudinal cross-section or side elevation, forming a sharp point P at the distal end of the outermost edge of the cutting surface. If desired, the cutting surface may be made
5 curvilinear in either or both of the transverse and longitudinal sections, as illustrated by the broken lines in FIGS. 14 and 15, to even further concentrate the force applied to the bone by the implant during self-tapping.

CLAIMS:

1. A self-tapping implant comprising:

a generally cylindrical body having an apical end and a gingival end and a threaded outer surface between said ends for securing said implant to the walls of a preformed hole in a living bone, said threaded outer surface tapering inwardly toward a longitudinal axis of the cylindrical body at the apical end of the body,

said body having a plurality of longitudinal recesses formed in said threaded surface at said apical end and extending through a plurality of thread turns of progressively diminishing radii toward said apical end, to form a sequence of self-tapping cutting edges located one at each interruption of the thread by one of said recesses with the thread-peaks at said cutting edges moving progressively closer to said axis, proceeding along the thread toward said apical end, and

each modified thread segment that extends between adjacent ones of said plurality of recesses having a major and minor radii, said major and minor radii diminishing around said axis between the leading and trailing ends of said modified thread segment.

2. The self-tapping implant of claim 1 in combination with a bone having a preformed substantially cylindrical hole of substantially constant radius around its cylinder axis, and the radius of said hole is not substantially smaller than the thread-peak radius at the apical end of the implant.

3. A self-tapping implant comprising:

a generally cylindrical body having a threaded outer surface for securing the implant to the walls of a preformed hole in a bone,

said body having a plurality of longitudinal recesses formed in said threaded surface at one end thereof and extending longitudinally through a plurality of turns of the thread to form a self-tapping cutting edge at each interruption of the thread by one of said recesses,

the threaded surface of said body being tapered in a longitudinal direction along at least a portion of said recesses so that successive cutting edges along the thread in the tapered region have progressively changing radii, and

each modified thread segment that extends between adjacent ones of said plurality of recesses having an pitch radius, said pitch radius diminishing around a longitudinal axis of said implant between the leading and trailing ends of the modified thread segment.

5 4. The self-tapping implant of claim 3 wherein the tapered portion of said threaded surface is located at an end of the implant so that the minimum diameter of the implant is at the end of the implant, and the radii of successive cutting edges along the thread progressively increase as the thread extends away from the end of the implant.

10 5. The self-tapping implant of claim 3 wherein each modified thread segment extending between a pair of adjacent recesses diminishes in its major and minor radii between the leading and trailing ends of the modified thread segment.

6. The self-tapping implant of claim 3 wherein each of said plurality of recesses extends in a direction parallel to said longitudinal axis of the implant.

15 7. The self-tapping implant of claim 3 wherein said pitch radii diminish immediately behind said cutting edges.

8. The self-tapping implant of claim 3 wherein each of said plurality of recesses extends through one end of the implant.

20 9. The self-tapping implant of claim 3 wherein the radius of curvature of each of said modified thread segments is smaller than the radius of curvature of the wall of said preformed hole in the bone.

10. The self-tapping implant of claim 3 which is made of titanium.

11. A self-tapping implant comprising:

a generally cylindrical body having a threaded outer surface for securing the implant to the walls of a preformed hole in a bone,

25 said body having a plurality of longitudinal recesses formed in said threaded surface at one end thereof and extending longitudinally through a plurality of turns of the thread to form a self-tapping cutting edge at each interruption of the thread by one of said recesses, the threaded surface of said body being tapered in the longitudinal direction along at least a portion of said recesses so that successive cutting edges along
30 the thread in the tapered region having progressively changing radii, and

14

each modified thread segment extending between a pair of adjacent recesses diminishes in pitch radius between the leading and trailing ends of the modified thread segment.

12. The self-tapping implant of claim 11 wherein both the minor and major radii of the modified thread segments between said recesses follow said longitudinal taper.

13. The self-tapping implant of claim 3 wherein said pitch radii diminish immediately behind said cutting edges.

14. The self-tapping implant of claim 11 wherein each of said recesses extends through one end of the implant.

15. The self-tapping implant of claim 11 wherein the radius of curvature of each of said modified thread segments is smaller than the radius of curvature of the wall of said preformed hole in the bone.

16. A self-tapping implant comprising
a generally cylindrical body having a threaded outer surface for securing the implant to the walls of a preformed hole in a bone,
said body having a plurality of longitudinal recesses formed in said threaded surface at one end thereof and extending longitudinally through a plurality of turns of the thread to form a self-tapping cutting edge at each interruption of the thread by one of said recesses, and

each modified thread segment extending between a pair of adjacent recesses diminishing in pitch radius between the leading and trailing ends of the modified thread segment.

17. The self-tapping implant of claim 16 wherein the threaded surface of said body is tapered in the longitudinal direction along at least a portion of said recesses so that successive cutting edges along the thread in the tapered region have progressively changing radii.

18. The self-tapping implant of claim 17 wherein both the minor and major radii of the modified thread segments between said recesses follow said longitudinal taper.

19. The self-tapping implant of claim 18 wherein said minor radius tapers further than said major radius.

20. The self-tapping implant of claim 16 wherein each of said plurality of recesses extends in a direction parallel to a longitudinal axis of said body.

21. The self-tapping implant of claim 16 wherein each of said recesses extends through one end of the implant.

5 22. The self-tapping implant of claim 16 wherein said recesses are spaced slightly from the apical end of the implant.

23. The self-tapping implant of claim 16 wherein said recesses are spaced symmetrically around the longitudinal axis of the implant.

10 24. The self-tapping implant of claim 16 wherein the recess wall that forms each cutting edge extends inwardly from the cutting edge at an acute angle relative to the outer surface of the implant contiguous to the cutting edge.

25. The self-tapping implant of claim 16 wherein the recess wall that forms each cutting edge extends longitudinally through the thread at an acute angle relative to the spiral path of the thread.

15 26. The self-tapping implant of claim 16 wherein the radius of curvature of each of said modified thread segments is smaller than the radius of curvature of the wall of said preformed hole in the bone.

27. The self-tapping implant of claim 16 which is made of titanium.

20 28. A self-tapping implant comprising:
a generally cylindrical body having a threaded outer surface for securing the implant to the walls of a preformed hole in a bone,

said body having a plurality of longitudinal recesses formed in said threaded surface and extending longitudinally through a plurality of turns of the thread to form a plurality of modified thread segments each having an pitch radius measured from said
25 longitudinal axis of said body, each of said plurality of modified thread segments having a lead end with a self-tapping cutting edge and a trailing end, said pitch radii of said modified thread segments diminishing from said lead end to said trailing end.

29. The self-tapping implant of claim 28 wherein said threaded outer surface of said body is tapered in the longitudinal direction along at least a portion of said recesses,

successive ones of said cutting edges in the tapered region have progressively changing radii.

30. The self-tapping implant of claim 28 wherein both the minor and major radii of said modified thread segments diminish following said cutting edge

5 31. The self-tapping implant of claim 28 wherein each of said recesses extends through one end of the implant.

32. The self-tapping implant of claim 28 wherein said pitch radii diminish immediately behind said cutting edges.

10 33. The self-tapping implant of claim 30 wherein said minor radius diminishes further than said major radius.

34. A self-tapping implant for forming internal threads in a bore within living bone for receiving said implant, said implant comprising:

a generally cylindrical body having a threaded outer surface for securing the implant to said bore,

15 said body having a tapered threaded end portion with a plurality of cutting edges alternating with modified thread segments, said modified thread segments having relief means following said cutting edges for displacing the major and minor radial dimension of said modified thread segment inwardly away from a confronting surface of said bore when said implant is inserted into said bore.

20 35. The self-tapping implant of claim 34 wherein said relief means occurs immediately behind said cutting edges.

36. The self-tapping implant of claim 34 wherein said main body further includes recesses extending through said tapered threaded end portion and through an apical end of said body of said implant.

37. The self-tapping implant of claim 34 wherein the radius of curvature of each of said modified thread segments is smaller than a radius of curvature of the wall of said bore in the bone.

38. The self-tapping implant of claim 34 wherein said implant is made of titanium.

39. The self-tapping implant of claim 34 wherein said modified thread segments include a major radius and a minor radius, said relief means including said major and minor radii maintaining a constant dimension around an axis that is offset from a longitudinal axis of said body.

40. A self-tapping implant comprising:

a generally cylindrical body having tapered lower portion near an apical end and a threaded outer surface for securing the implant to the walls of a preformed hole in a bone,

said body having a plurality of longitudinal recesses formed in said threaded surface and extending longitudinally through a plurality of turns of the thread within said lower portion to form a plurality of modified thread segments, each of said plurality of modified thread segments having a lead end with a self-tapping cutting edge and a trailing end, and

two vertically adjacent modified thread segments along said lower portion defining an area therebetween, said area at least remaining constant from said lead end to said trailing end.

41. The self-tapping implant of claim 40 wherein said modified thread segments includes major and minor radii, said minor and major radii diminish following said cutting edge.

42. The self-tapping implant of claim 40 wherein each of said recesses extends through one end of the implant.

43. The self-tapping implant of claim 40 wherein said implant is made of titanium.

44. A self-tapping implant comprising:

5 a generally cylindrical body having tapered lower portion near an apical end and a threaded outer surface for securing the implant to the walls of a preformed hole in a bone,

said body having a plurality of longitudinal recesses formed in said threaded surface and extending longitudinally through a plurality of turns of the thread within said lower portion to form a plurality of modified thread segments, each of said plurality
10 of modified thread segments having a lead end with a self-tapping cutting edge and a trailing end, and

two vertically adjacent modified thread segments along said lower portion defining a trough therebetween having a trough radius, said trough radius decreasing from said lead end to said trailing end by an amount to at least offset the increase in
15 pitch radius of said modified thread segments due to the tapering of said lower portion.

45. A self-tapping dental implant comprising:

a generally cylindrical body having a threaded outer surface for securing the implant to the walls of a preformed hole in a bone,

said body having a plurality of longitudinal recesses formed in said threaded
20 surface and extending longitudinally through a plurality of turns of the thread to form a plurality of modified thread segments each having an pitch radius measured from said longitudinal axis of said body, each of said plurality of modified thread segments having a lead end with a self-tapping cutting edge and a trailing end, said pitch radii of said modified thread segments diminishing from said lead end to said trailing end.

25 46. The dental self-tapping implant of claim 45 wherein said threaded outer surface of said body is tapered in the longitudinal direction along at least a portion of said recesses, successive ones of said cutting edges in the tapered region have progressively changing radii.

30 47. The dental self-tapping implant of claim 45 wherein both the minor and major radii of said modified thread segments diminish following said cutting edge

48. The dental self-tapping implant of claim 45 wherein each of said recesses extends through one end of the implant.

49. The dental self-tapping implant of claim 45 wherein said pitch radii diminish immediately behind said cutting edges.

5 50. The dental self-tapping implant of claim 47 wherein said minor radius diminishes further than said major radius.

1/9

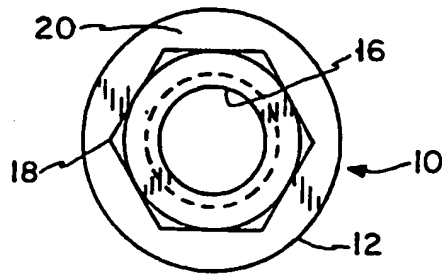


FIG. 2

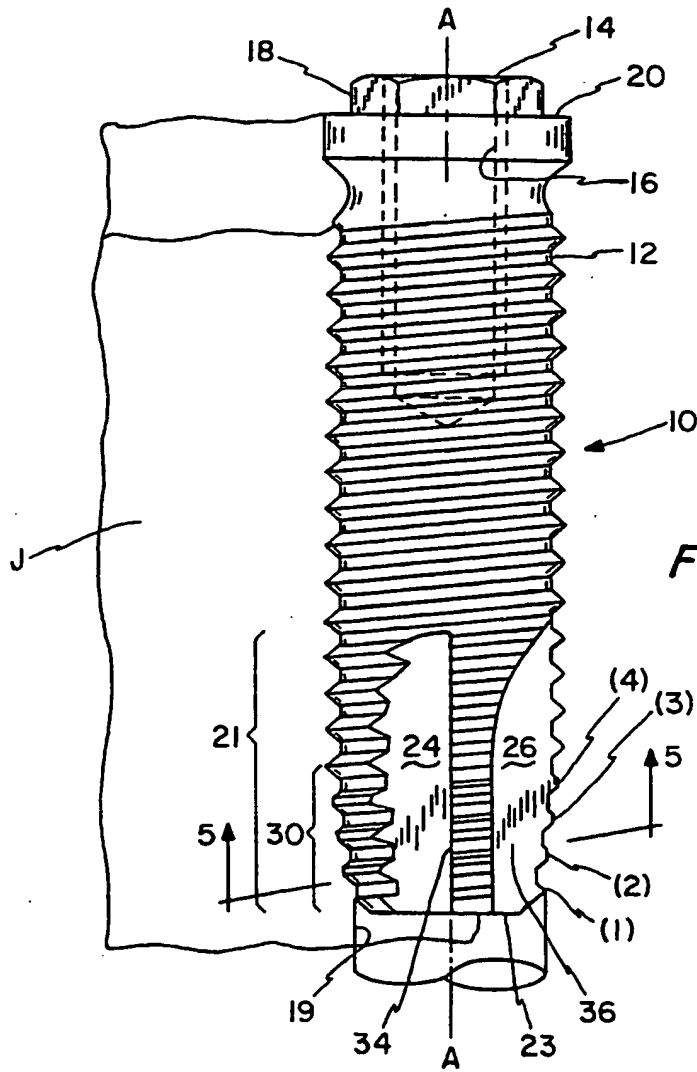


FIG. 1

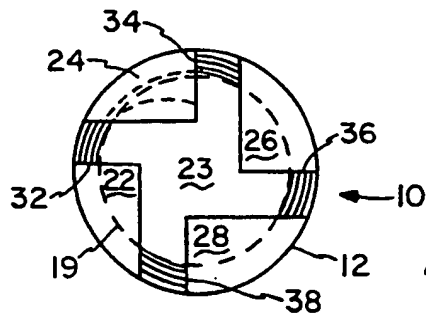


FIG. 3

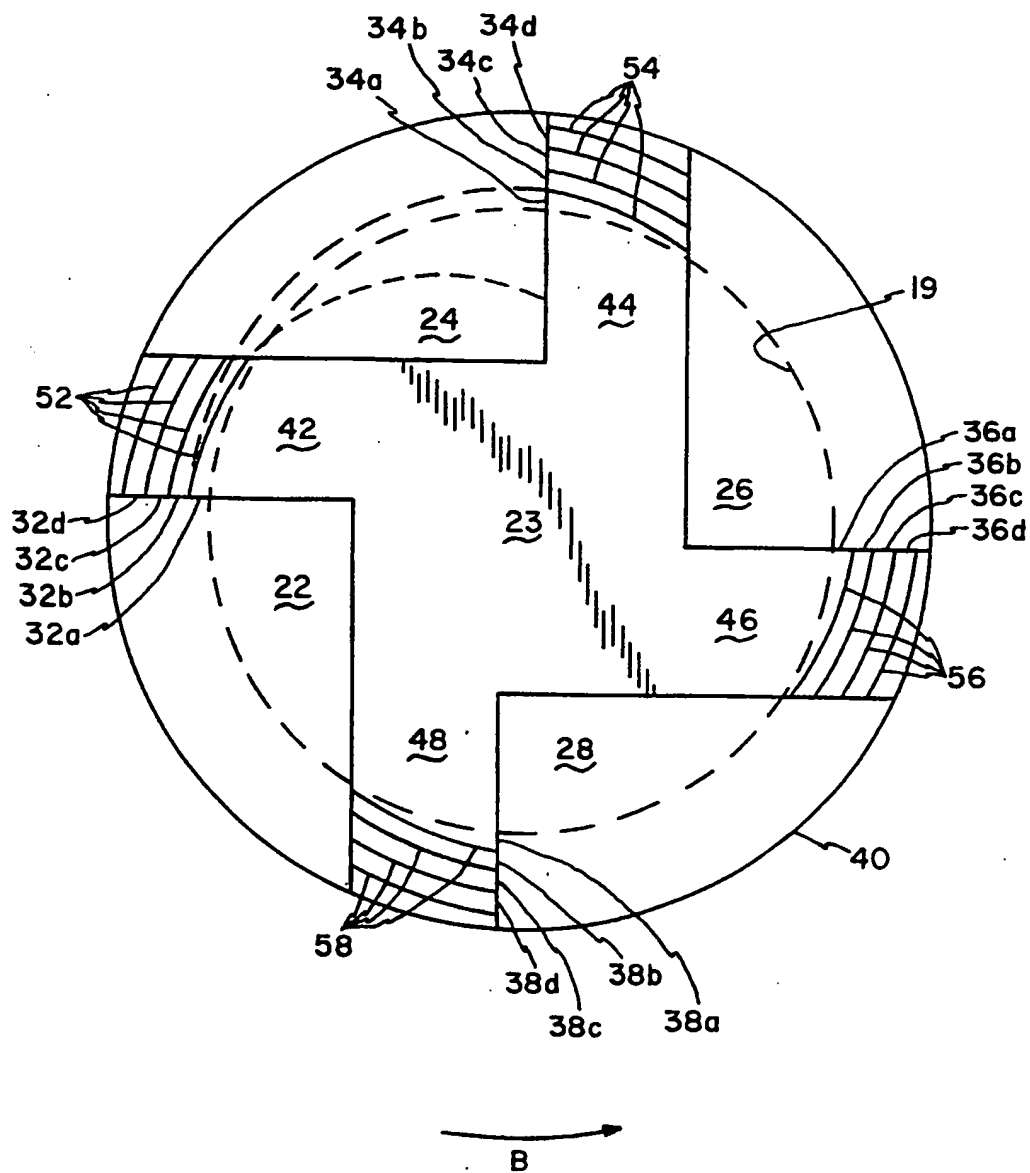


FIG. 4

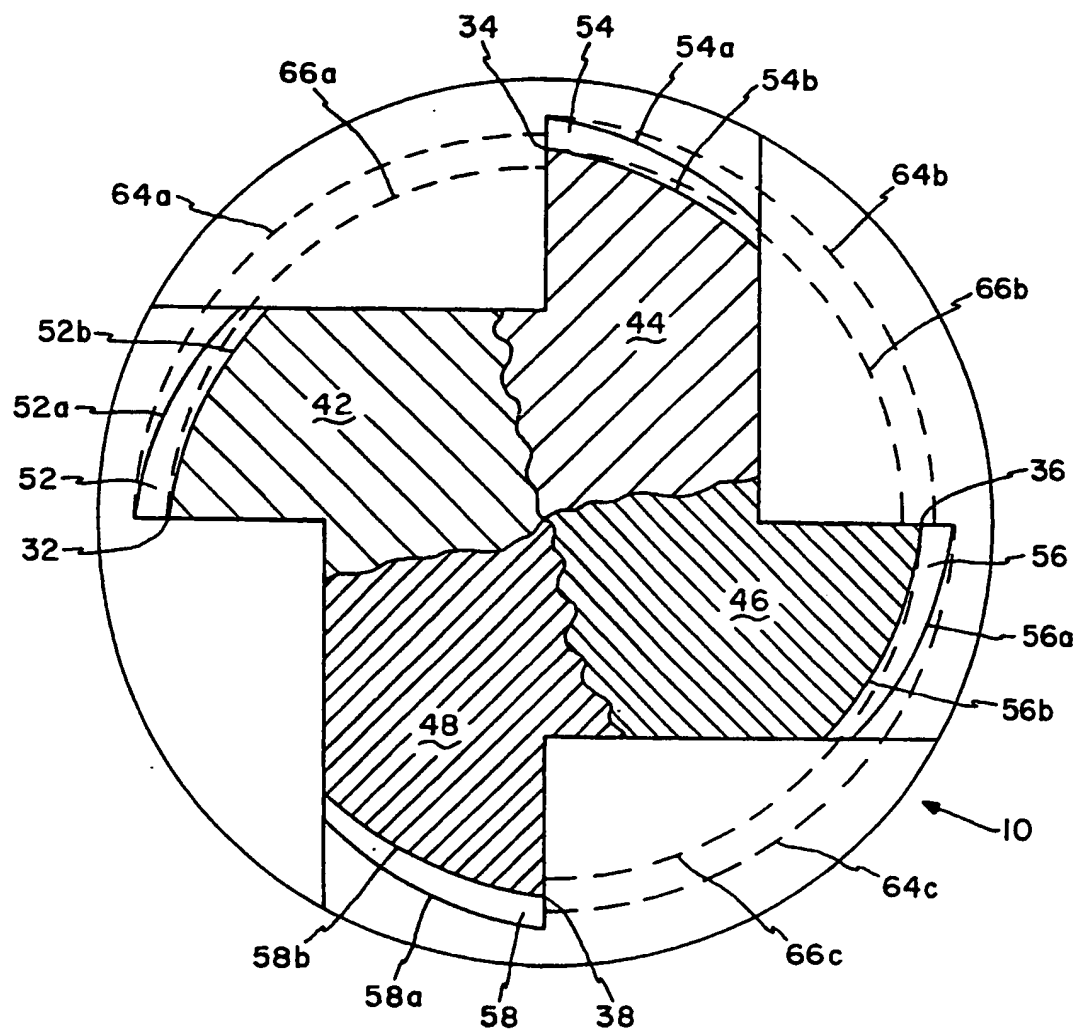


FIG. 5

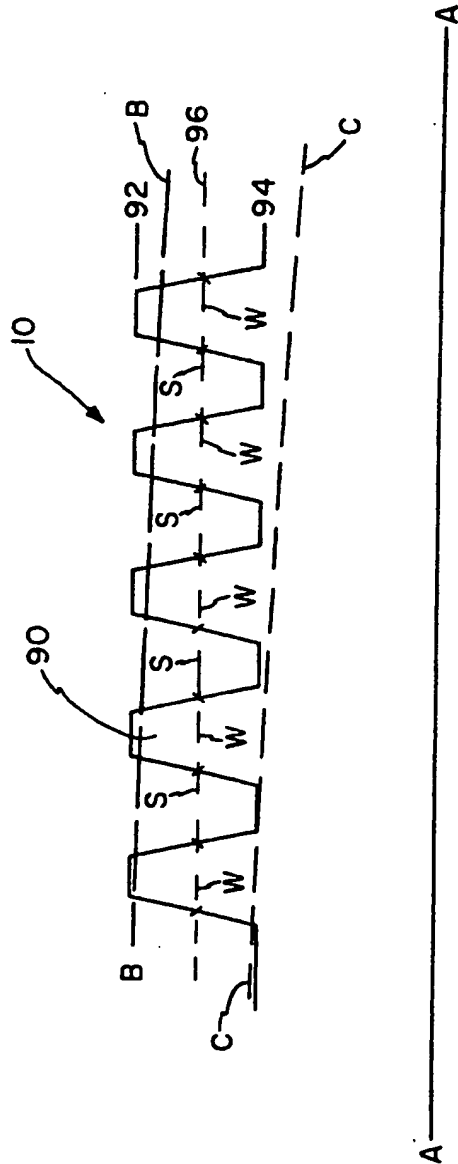
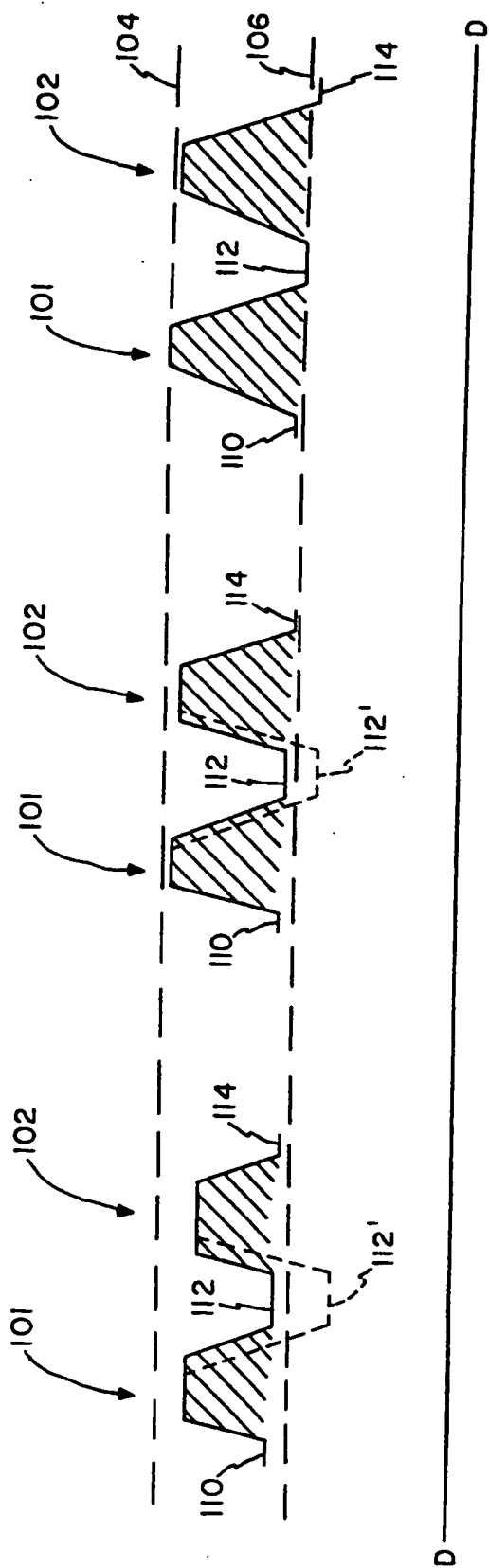


FIG. 6



6/9

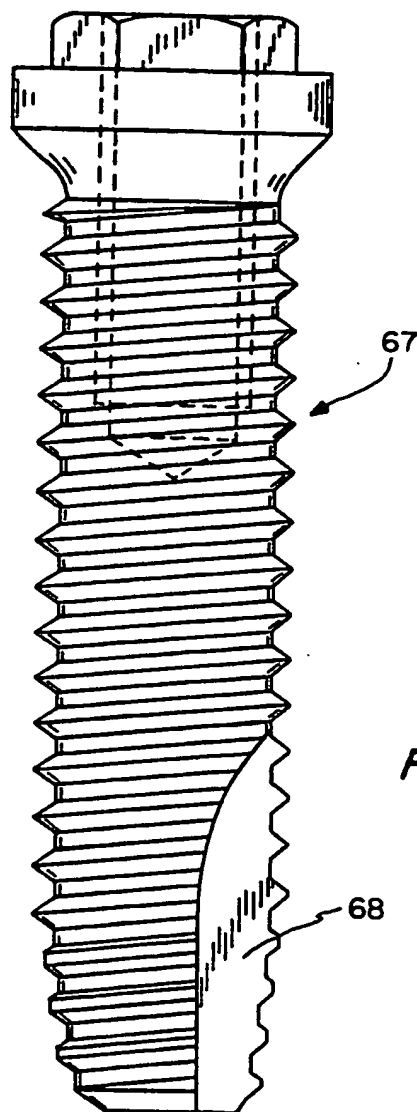


FIG. 8

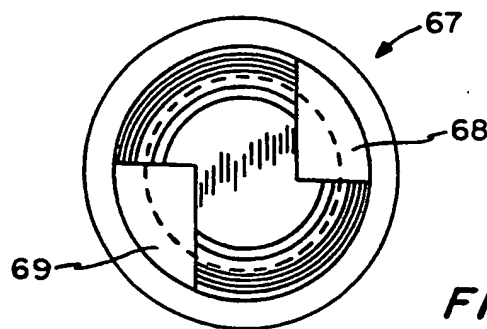
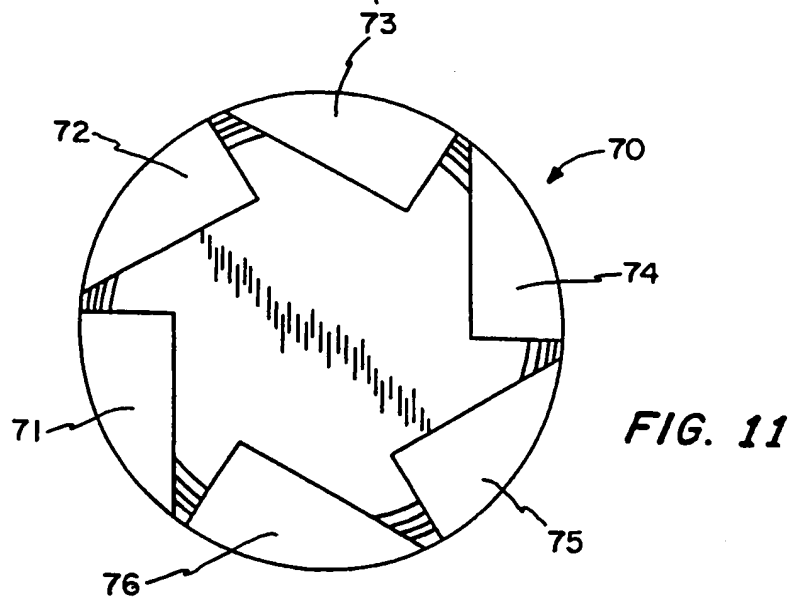
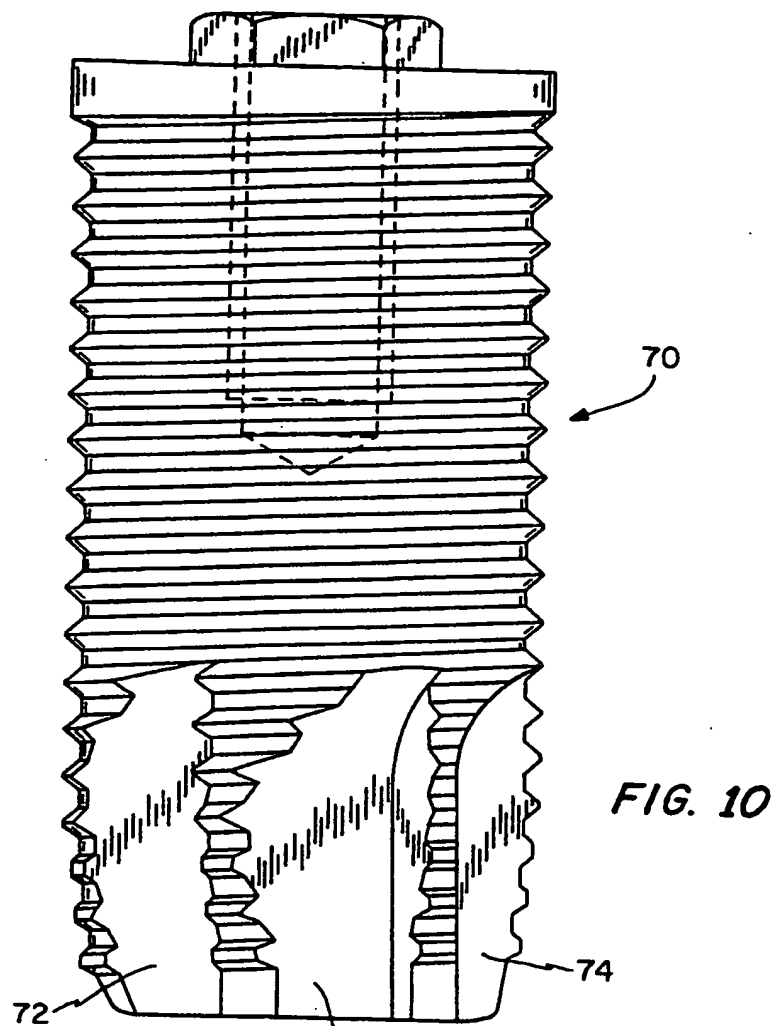
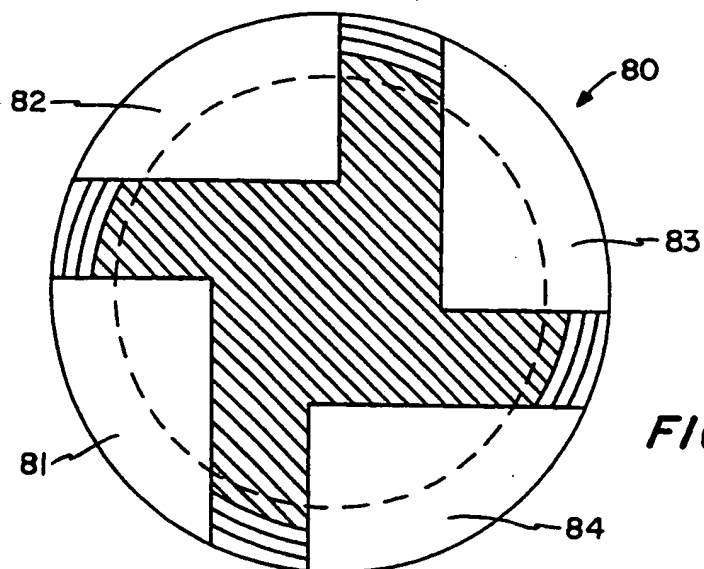
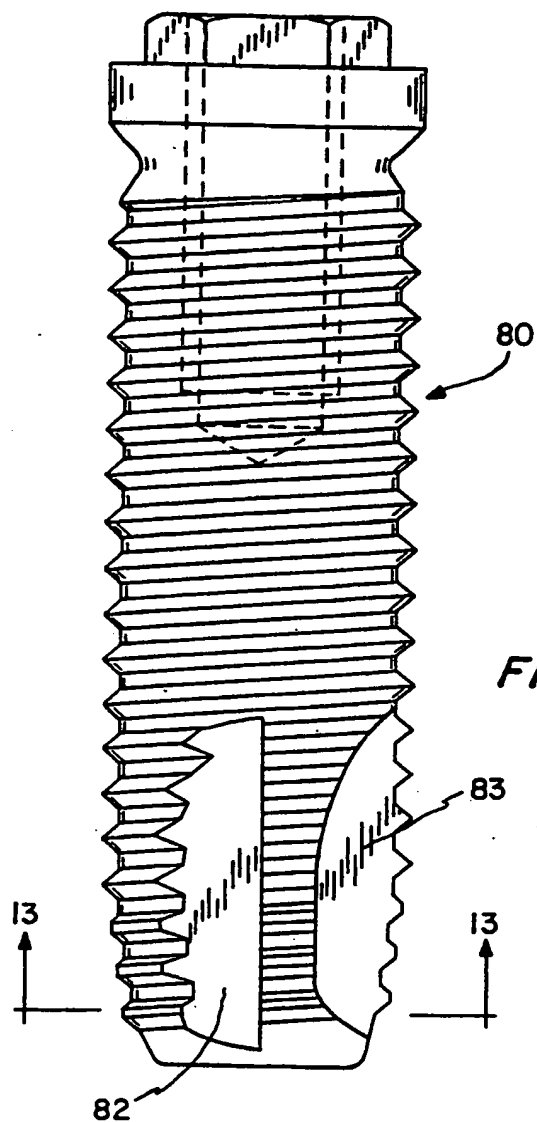


FIG. 9



8/9



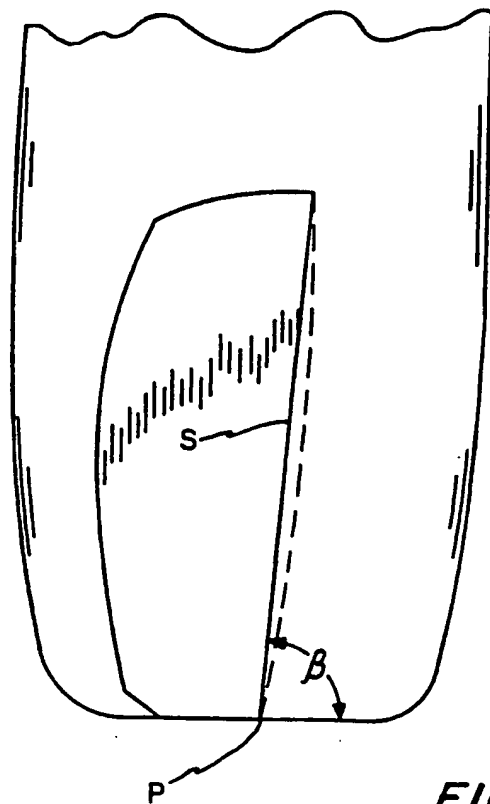


FIG. 15

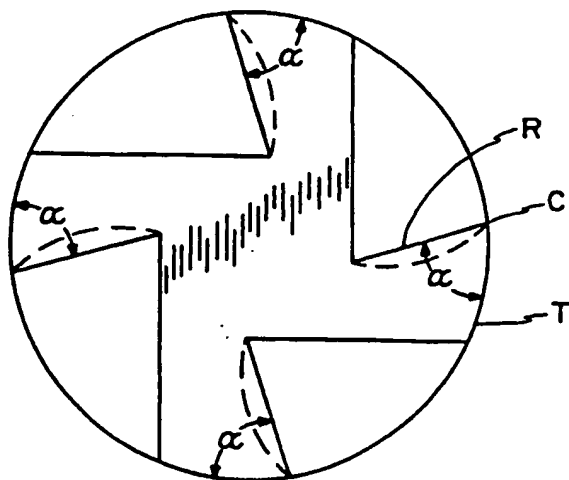


FIG. 14

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US96/11138

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61C 8/00

US CL :433/174

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 433/172-176

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US, A, 4,406,623 (GRAFELMANN ET AL.) 27 September 1983, see column 2 line 54 to column 4 line 7.	1-5, 7, 9, 11-13, 15-18, 22-26, 28-30, 32, 33, 40, 41, 44-47, 49
X	US, A, 4,324,550 (REUTHER ET AL.) 13 April 1982, see column 1 line 41 to column 2 line 11.	1-7, 9, 1-13, 15-18, 20, 22, 23, 26-30, 32, 40, 41, 43-47, 49
X	US, A, 5,269,685 (JORNEUS ET AL.) 14 December 1993, see column 2 line 32 to column 3 line 30.	34, 35, 37, 38

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be part of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, each combination being obvious to a person skilled in the art
L document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A*	document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means		
P document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

02 OCTOBER 1996

Date of mailing of the international search report

31 OCT 1996

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

CARY O'CONNOR

Telephone No. (703) 308-0858

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US96/11138

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US, A, 4,537,185 (STEDNITZ) 27 August 1985, see column 3 line 29 to column 4 line 49.	1-21, 23, 26-32, 34-50